

FORM PTO-1390 (Modified)
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

66307-313-7

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

INTERNATIONAL APPLICATION NO.
PCT/GB03/00218INTERNATIONAL FILING DATE
22 JANUARY 2003PRIORITY DATE CLAIMED
25 JANUARY 2002

TITLE OF INVENTION

IMPROVEMENTS IN METHODS OF MANUFACTURING SUBSTRATES

APPLICANT(S) FOR DO/EO/US

ISHERWOOD, Roland; COMMANDER, Lawrence George

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. A **FIRST** preliminary amendment.
16. A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. A substitute specification.
18. A change of power of attorney and/or address letter.
19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. Express Mail Label No.
23. Other items or information:

U.S. APPLICATION NO. (IF KNOWN, SEE 37 C.F.R. § 1.492(a)(1)-(5))	INTERNATIONAL APPLICATION NO. PCT/GB03/00218	ATTORNEY'S DOCKET NUMBER 66307-313-7			
24. The following fees are submitted:		CALCULATIONS PTO USE ONLY			
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :					
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$0.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (c)).		□ 20 □ 30 \$0.00			
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	- 20 =	0	x \$18.00	\$0.00	
Independent claims	- 3 =	0	x \$86.00	\$0.00	
Multiple Dependent Claims (check if applicable)			□	\$0.00	
TOTAL OF ABOVE CALCULATIONS =		\$0.00			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$0.00			
SUBTOTAL =		\$0.00			
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).		□ 20 □ 30 +	\$0.00		
TOTAL NATIONAL FEE =		\$0.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).		□	\$0.00		
TOTAL FEES ENCLOSED =		\$0.00			
		Amount to be: refunded \$ charged \$			
a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.					
c. <input type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Richard H. Tushin DYKEMA GOSSETT PLLC CUSTOMER NO. 25269		 SIGNATURE Richard H. Tushin NAME 27,297 REGISTRATION NUMBER July 26, 2004 DATE			

66307-313-7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Roland ISHERWOOD et al.

Serial No.: 10/502,097

Filed: July 26, 2004

IMPROVEMENTS IN METHODS OF
MANUFACTURING SUBSTRATES

) PATENT

) GROUP:

) EXAMINER:

) CUSTOMER NO.: 25269

) BY FACSIMILE
571-273-0459

* * * * *

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

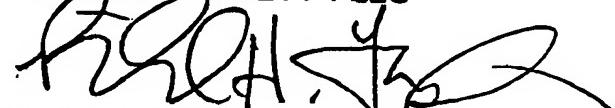
November 23, 2004

Sir:

According to the Notification of Missing Requirements, dated November 15, 2004 (copy attached), the executed Declaration and the associated government filing fee are due. However, the executed Declaration was filed in the Patent Office mail room on July 26, 2004, together with an authorization to charge the filing fee to Deposit Account No. 04-2223. A true copy of the filed documents and the associated date-stamped filing receipt are attached.

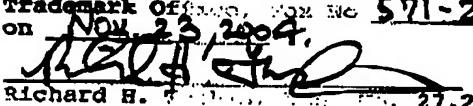
Respectfully submitted,

DYKEMA GOSSETT PLLC



Richard H. Tushin, Reg. No. 27,297
Franklin Square, Third Floor West
1300 I Street, N.W.
Washington, DC 20005-3353
(202) 906-8600

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office, Reg. No. 571-273-0459 on Nov. 23, 2004.

Richard H. Tushin, Reg. No. 27,297



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/502,097	Roland Isherwood	66307-313-7
INTERNATIONAL APPLICATION NO.		
PCT/GB03/00218		
LA. FILING DATE		PRIORITY DATE
01/22/2003		01/25/2002
CONFIRMATION NO. 5522		
371 FORMALITIES LETTER		
OC000000014355214		

Date Mailed: 11/15/2004

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/22/2004
- Copy of the International Search Report filed on 07/22/2004
- Copy of IPE Report filed on 07/22/2004
- Preliminary Amendments filed on 07/22/2004
- Request for Immediate Examination filed on 07/22/2004
- U.S. Basic National Fees filed on 07/22/2004
- Priority Documents filed on 07/22/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity.

- \$130 Late oath or declaration Surcharge.

DUE 1/15/05
 FOL 3/15/05
 ENTERED BY ASB ON 1/22/04

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

Page 2 of 2

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

JOHN L ANDERSON

Telephone: (703) 308-9116

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/502,097	PCT/GB03/00218	66307-313-7

FORM PCT/DO/EO/905 (371 Formalities Notice)

FILE COPY

DYKEMA GOSSETT
THIRD FLOOR WEST, FRANKLIN SQUARE
1300 I STREET, N.W.
WASHINGTON, DC 20005-3306



THE PATENT AND TRADEMARK OFFICE OFFICIAL FILING DATE STAMP
HEREON IS ACKNOWLEDGEMENT OF FILING:

- | | |
|--|---|
| <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Utility Patent Appln. |
| <input type="checkbox"/> Request for Reconsideration | <input type="checkbox"/> Pages of Specification |
| <input type="checkbox"/> Supplemental Amendment | <input type="checkbox"/> Pages of Claims |
| <input type="checkbox"/> Election Requirement | <input type="checkbox"/> Abstract of the Disclosure |
| <input type="checkbox"/> IDS w/PTO-1449 (<u> </u> Refs) | <input type="checkbox"/> Pages of Drawings |
| <input checked="" type="checkbox"/> Assignment w/ PTO-1595 | <input type="checkbox"/> Dec/POA |
| <input type="checkbox"/> EOT (<u> </u> month(s)) | <input type="checkbox"/> Form PTO-1595 |
| <input checked="" type="checkbox"/> Submsn of Dec/Filing Fees | <input type="checkbox"/> Other |
| <input type="checkbox"/> Translation of Appln. | <input type="checkbox"/> Postcard |
| <input type="checkbox"/> Priority Doc# <u> </u> | <input type="checkbox"/> Submsn of Formal Drwgs |
| <input type="checkbox"/> Part B-Issue Fee Transmtl. | <input type="checkbox"/> |

**TITLE: IMPROVEMENTS IN METHODS OF MANUFACTURING
SUBSTRATES**

INVENTOR: Roland ISHERWOOD et al.
SERIAL NO: (PCT/GB03/00218)
DOCKET NO: 66307-313-7
DATE: July 26, 2004 (DUE: 7/25/2004)
CLIENT: BOULT WADE TENNANT

** TOTAL PAGE .09 **

*** RX REPORT ***

RECEPTION OK

TX/RX NO	7573
RECIPIENT ADDRESS	202 906 8671
DESTINATION ID	
ST. TIME	11/23 11:48
TIME USE	02 '01
PGS.	9
RESULT	OK